Clinical implications of mechanisms of action of antidepressants

Brian Leonard

The purpose of this review is briefly to consider the biological basis of depression and to attempt to illustrate how antidepressants produce their beneficial effects by correcting these abnormalities.

Biochemical changes in patients with depression and mechanisms of action of antidepressant drugs

In 1965, Schildkraut postulated that noradrenaline may play a pivotal role in the aetiology of depression. In favour of this hypothesis was the observation that the antihypertensive drug reserpine, which depletes central and peripheral vesicular stores of catecholamines such as noradrenaline, can precipitate depression in patients in remission. The experimental drug α-methyl-paratyrosine that blocks the synthesis of noradrenaline and dopamine by inhibiting the rate-limiting enzyme tyrosine hydroxylase also precipitates depression in patients during remission. Such findings are only indirect indicators that noradrenaline plays an important role in human behaviour, and may be defective in depression – more direct evidence is needed to substantiate the hypothesis. The most obvious approach would be to determine the concentration of noradrenaline and/or its major central metabolite 3-methoxy-4-hydroxyphenylglycol (MHPG) in the brains of suicide victims. The problem with such post-mortem studies is that:

(a) the precise diagnosis may be uncertain;
(b) there is usually a considerable post-mortem delay before the brain is removed at autopsy; and
(c) suicide is often committed by taking an overdose of alcohol together with drugs, which grossly affects central monoamine neurotransmitter function.

Unless these variables are carefully controlled, the value of results obtained from such analyses is uncertain. The neurotransmitter receptors in post-mortem brain are less labile than the neurotransmitters that act upon them. The density of β-adrenoceptors is increased in cortical regions of the brains from suicide victims who have suffered from depression. There is also an increase in the density of β-adrenoceptors on the lymphocytes of untreated patients with depression. As the density of these receptors is normalised by effective antidepressant treatment, it has been postulated that changes in the β-receptor density may be a state marker of the condition (Leonard, 1994a).

Elevation of growth hormone in the plasma following administration of the α2 adrenoceptor agonist clonidine is diminished in patients with depression, which suggests that central post-synaptic α2 adrenoceptors are also sub-functional in such patients. As the clonidine response does not return to normal after effective antidepressant treatment, this is possibly a trait marker of depression.

In depression, it should be emphasised that the reduced growth hormone response to clonidine cannot be accounted for by the drug treatment, age or gender of the patient, which supports the view that the noradrenergic system is dysregulated.

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is also abnormal in depression with an increase in victims (Agren, 1980). Serotonin receptor function 5-HIAA in the limbic regions of the brain of suicide of patients with severe depression, as are 5-HT and (5-HIAA), is reduced in the cerebrospinal fluid (CSF) main 5-HT metabolite, 5-hydroxyindole acetic acid expression of mood (see Charney et al, 1991). The motivation, 5-HT is primarily involved in the nervous system appear to be linked to drive and logical effects of noradrenaline in the central with depression. Whereas the overall psychophysiological change reflects an increased activity of the noradrenergic function is sub-optimal in depression. Taken together, these results suggest that central noradrenergic function is decreased in depression, an event leading to an increase in the density of the post-synaptic β-adrenceptors (Leonard, 1986; Dinan, 1994). However, none of the studies of noradrenergic function in post-mortem material or tissues from patients with depression is entirely satisfactory. Many of the findings cannot be replicated, the number of patients studied is relatively small and the tritiated ligands used to determine the receptor density, for example, vary in their selectivity.

The density of muscarinic receptors is also increased in limbic regions of patients with depression who have committed suicide. If such a change reflects an increased activity of the cholinergic system, it could reduce noradrenergic function, as increased central cholinergic activity can precipitate depression and reduce noradrenergic activity (Janowsky et al, 1986).

The role of serotonin (5-hydroxytryptamine, 5-HT) has also been extensively studied in patients with depression. Whereas the overall psychophysiological effects of noradrenaline in the central nervous system appear to be linked to drive and motivation, 5-HT is primarily involved in the expression of mood (see Charney et al, 1991). The main 5-HT metabolite, 5-hydroxyindole acetic acid (5-HIAA), is reduced in the cerebrospinal fluid (CSF) of patients with severe depression, as are 5-HT and 5-HIAA in the limbic regions of the brain of suicide victims (Agren, 1980). Serotonin receptor function is also abnormal in depression with an increase in the density of cortical 5-HT₂ receptors in the brains of suicide victims and also on the platelet membrane of patients with depression.

Platelets are, like neurons, of ectodermal origin and contain enzymes such as enolase that are otherwise restricted to neurons. In addition, platelets contain storage vesicles for 5-HT, from which the amine is released by a calcium-dependent mechanism. An energy-dependent transport site for 5-HT also occurs on the platelet membrane, the structure of which is identical to that found on neurons in the brain. Furthermore, the platelet membrane contains 5-HT₂ and α2 adrenergic receptors that are functionally involved in platelet aggregation; there is evidence that the densities of these receptors are increased in patients with depression and largely normalised following effective treatment. The transport of [³H]-5-HT into the platelet is significantly reduced in untreated patients with depression, but largely returns to normal following effective treatment (Leonard, 1994b). This change, occurring irrespective of the nature of the antidepressant, may be a state marker of the illness.

The function of the 5-HT₂ receptors on the platelet membrane of patients with depression is increased, a finding which suggests that the G-protein and second messenger phosphatidyl inositol system is defective. Also, the modulatory site on the 5-HT transporter, the imipramine binding site, is decreased in depression, but remains unchanged by effective treatment. This suggests that the number of imipramine binding sites on the platelet membrane is a trait marker of the condition. However, the binding of the more specific ligand, [³H]-paroxetine, is unchanged in depression. It is uncertain how the changes in platelet function reflect those occurring in the brain. The changes observed could be a reflection of the hormonal changes (for example, glucocorticoids) that occur in depression. Some of the alterations in platelet function are a consequence of low molecular weight plasma factors that reduce following effective antidepressant treatment (Nugent & Leonard, 1998).

Patients undergoing treatment with selective serotonin reuptake inhibitors (SSRIs) rapidly relapse when given an amino acid-containing drink that is deficient in tryptophan (Delgado et al, 1990). The onset of the symptoms of depression is rapid (less than 24 hours); the reduction in brain serotonin as a consequence of the lack of tryptophan may be the cause of the altered mood state. However, those patients with depression in this study who responded to desipramine, which enhances

<table>
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<th>Box 1. Changes in brain and tissue amine neurotransmitters in patients with depression which may be indicative of the mechanism of action of antidepressants</th>
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<td>Evidence from the brains of patients with depression who committed suicide</td>
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<tr>
<td>Increased density of β-adrenergceptors in cortical regions</td>
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<tr>
<td>Increased density of 5-HT₂a receptors in limbic regions</td>
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<tr>
<td>Decreased concentration of 5-HIAA in several brain regions</td>
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<tr>
<td>Increased muscarinic receptor density in limbic regions</td>
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Lastly, determination of the urine or plasma concentrations of MHPG (an indicator of central noradrenergic activity), suggests that central noradrenergic function is sub-optimal in depression. The density of muscarinic receptors is also increased in limbic regions of patients with depression who have committed suicide. If such a change reflects an increased activity of the cholinergic system, it could reduce noradrenergic function, as increased central cholinergic activity can precipitate depression and reduce noradrenergic activity (Janowsky et al, 1986).

The role of serotonin (5-hydroxytryptamine, 5-HT) has also been extensively studied in patients with depression. Whereas the overall psychophysiological effects of noradrenaline in the central nervous system appear to be linked to drive and motivation, 5-HT is primarily involved in the expression of mood (see Charney et al, 1991). The main 5-HT metabolite, 5-hydroxyindole acetic acid (5-HIAA), is reduced in the cerebrospinal fluid (CSF) of patients with severe depression, as are 5-HT and 5-HIAA in the limbic regions of the brain of suicide victims (Agren, 1980). Serotonin receptor function is also abnormal in depression with an increase in
noradrenergic function, did not relapse when given the tryptophan-free drink. Some patients with depression have a pronounced deficit in noradrenergic function rather than serotonergic function, which may account for the differential response of some patients with depression to antidepressants that augment the serotonergic or noradrenergic system.

Dopaminergic function

Studies on platelets, lymphocytes, changes in cerebrospinal fluid metabolites of brain monoamines and post-mortem studies suggest that a major abnormality in both noradrenergic and serotonergic function occurs in depression, and that such changes could be causally related to the disease process.

Less attention has been paid to the possible involvement of dopamine in this disorder. However, anhedonia is a characteristic feature of major depression, and a defect in dopaminergic function is thought to be causally involved in this symptom (Willner, 1983). The concentration of the main dopamine metabolite, homovanillic acid (HVA), is decreased in the CSF of patients with depression, particularly those with psychomotor retardation. Patients with depression who attempted suicide were also found to have a decreased urinary excretion of HVA and the second major dopamine metabolite, dihydroxyphenylacetic acid (DOPAC). The dopamine deficit may be a reflection of the degree of retardation rather than of the psychological state, as similar changes in CSF HVA concentrations have been reported to occur in patients with Parkinson’s disease. Changes in the basal ganglia may overshadow any changes in the mesolimbic dopaminergic system, as the contribution of this area is relatively minor.

Bupropion (not marketed in Europe but available in North America) amineptine and nomifensin (withdrawn because of the rare occurrence of haemolytic anaemia) owed their antidepressant efficacy to their ability to increase central dopaminergic function. There are also open-label studies to suggest that the novel dopamine receptor agonist roxindole and the selective dopamine uptake inhibitor pramipexole may have antidepressant action.

Is there a common mechanism of action?

Why is there a delay in onset of the antidepressant response?

Delay in onset of the therapeutic effect of antidepressants, which clearly is unrelated to the acute actions of these drugs on monoamine reuptake transporters or monoamine oxidase, is probably related to the adaptive changes that occur in the pre- and post-synaptic receptors that govern neurotransmitter release.

Antidepressant action usually has a gradual onset of over 2–3 weeks before the optimal effect is obtained (World Health Organization Mental Health Collaborating Centres, 1989). Much of the
early improvement is probably associated with a reduction in anxiety and improvement in sleep caused by the sedative action of the drugs. Delay in onset of the therapeutic response cannot be easily explained by the pharmacokinetic profile of the drugs, as peak plasma (and presumably brain) concentrations are usually reached in 7–10 days. Furthermore, the 2- to 3-week delay is also seen in many patients given electroconvulsive therapy (ECT). Box 4 summarises some of the changes in neurotransmitter receptors that occur in the cortex of rat brain following ECT or the chronic administration of antidepressants. Adaptational changes occur in adrenoceptors, serotonin, dopamine and type B γ-amino butyric acid (GABA-B) receptors (Leonard, 1994a). GABA-B receptors play a role in enhancing noradrenaline release in the cortex and in this respect differ fundamentally from the inhibitory GABA-A receptors that facilitate central GABAergic transmission. A decrease in the activity of GABA-B receptors may therefore contribute to the reduced central noradrenergic tone in depression.

**Changes in cholinergic function**

In the bulbectomised rat model of depression, a decrease in cortical muscarinic receptors occurs that returns to control values following treatment with antidepressants (Earley et al, 1994). The anticholinergic activity of the tricyclic antidepressants is usually associated with their unacceptable peripheral side-effects and most second-generation antidepressants lack such side-effects. Possible support for a cholinergic hypothesis of depression is that the cholinesterase inhibitor pyridostigmine, when administered to drug-free patients with depression, causes an enhanced activation of the anterior pituitary glands, as shown by the release of growth hormone (O’Keane et al, 1992). This suggests that the muscarinic receptors are supersensitive in patients with depression. Janowsky et al (1986) postulated that depression arises as the result of an imbalance between the central noradrenergic and cholinergic systems; in depression, the activity of the former system is decreased and, conversely, in mania it is increased. As most antidepressants enhance noradrenergic function, it is hypothesised that the reduction in cholinergic activity is a consequence of the increase in noradrenergic activity.

In summary, irrespective of the specificity of the antidepressants following their acute administration, it can be speculated that a common feature of all of

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**Box 4. Changes in neurotransmitter receptors that occur in the cortex of rat brain following chronic antidepressant treatment**

<table>
<thead>
<tr>
<th>Receptor Type</th>
<th>Change in Activity/Concentration</th>
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<tr>
<td>Cortical β-adrenoceptors:</td>
<td>decreased functional activity and density</td>
</tr>
<tr>
<td>Cortical α1 adrenoceptors:</td>
<td>increased density</td>
</tr>
<tr>
<td>Cortical α2 autoreceptors:</td>
<td>decreased functional activity</td>
</tr>
<tr>
<td>Dopamine autoreceptors:</td>
<td>decreased functional activity</td>
</tr>
<tr>
<td>Cortical GABA-B receptors:</td>
<td>decreased density</td>
</tr>
<tr>
<td>Limbic 5-HT1A receptors:</td>
<td>increased density and decreased functional activity</td>
</tr>
<tr>
<td>Cortical 5-HT2A receptors:</td>
<td>increased density and decreased functional activity</td>
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N.B. Most of these changes have been reported to occur with different classes of antidepressants but only following chronic treatment

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**Box 5. Changes in cholinergic and aminergic receptors in depression and following antidepressant treatment**

Evidence that central muscarinic receptors are supersensitive in patients with depression and that chronic antidepressant treatments normalise this supersensitivity. This effect does not depend on any intrinsic anticholinergic activity of the antidepressant (i.e. it is an indirect, adaptive effect).

Following chronic administration to rats, there is evidence that most antidepressants cause adaptative changes in 5-HT1A, 5-HT2A, α1, α2 and β-adrenoceptors, GABA-B receptors and possibly the N-methyl-D-aspartate (NMDA)–glutamate receptors.
Role of the glutamatergic system in the action of antidepressants

The glutamatergic system is the main excitatory neurotransmitter pathway in the brain. Tricyclic antidepressants (TCAs) inhibit the binding of dizocilpine to the ion channel of the main glutamate receptor, the NMDA-receptor in the brain (Reynolds & Miller, 1988). Newer antidepressants have a qualitatively similar effect (Kitayama et al., 1997). Whether this is due to direct action on glutamate receptor sites or indirect action via the glycine receptor site is uncertain. Glycine and drugs modulating the glycine site show antidepressant-like activity in animal models of depression. Thus, antidepressants may act as functional NMDA receptor antagonists.

Intracellular changes that occur following chronic antidepressant treatment

The coupling of the NMDA-receptor on the cell surface to the intracellular second messenger is brought about by a member of the G-protein family.

Box 6. Mechanism of action of antidepressants: changes in serotonergic function

There is experimental evidence that the chronic administration of antidepressants or ECT enhances the inhibitory effect of microiontophoretically applied 5-HT. This effect is blocked by lesions of the noradrenergic projections to the frontal cortex. SSRIs after chronic administration down-regulate the inhibitory 5-HT1a receptors on the serotonergic cell body, thereby leading to an enhanced release of the transmitter from the nerve terminal.

Serotonin can also decrease dopamine release from the substantia nigra (an important dopaminergic nucleus). This may account for the observation that some SSRIs cause dystonias and precipitate the symptoms of parkinsonism if given to such patients who are responding to L-dopa. Sertraline appears to differ from other SSRIs in this respect and may slightly enhance dopaminergic function by reducing reuptake of this transmitter.
Beta-adrenoceptors are linked to adenylate cyclase and, depending on the subtype of receptors, 5-HT is linked to either adenylate cyclase (5-HT<sub>1A</sub>, 5-HT<sub>1B</sub>) or phospholipase (5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>). Activation of phospholipase results in an intracellular increase in the secondary messengers diacylglycerol and inositol triphosphate (IP<sub>3</sub>), the IP<sub>3</sub> then mobilising intraneuronal calcium.

The net result of the activation of the secondary messenger systems is to increase the activity of the various intracellular protein kinases (termed third messengers) that phosphorylate cell proteins to produce a physiological response. Racagni <i>et al</i> (1991) have investigated the effect of chronic antidepressant treatment on the phosphorylation of proteins associated with the cytoskeletal structure of the nerve cell. Antidepressants could affect the function of the cytoskeleton by changing the component of the associated protein phosphorylation system. Thus, both typical (e.g. desipramine) and atypical antidepressants (e.g. (+)-oxaprotiline, a specific noradrenaline reuptake inhibitor, and fluoxetine, an SSRI) increased the synthesis of a microtubule fraction. These changes occurred only after chronic antidepressant treatments. Antidepressants might thereby change neuronal signal transduction processes distal to the receptor.

**Glucocorticoid receptors: adaptive changes following antidepressant treatment**

Glucocorticoid receptors have been identified in the nuclei of catecholamine and 5-HT-containing cell bodies in the brain. Glucocorticoid receptors activate deoxyribonucleic acid-binding proteins, which can modify the transcription of genes. Chronic administration of imipramine increases glucocorticoid receptors in rat brain, particularly the noradrenergic and serotonergic cell body regions.

Lymphocyte glucocorticoid receptors are subsensitive in patients with depression. The failure of the negative feedback mechanism that regulates the secretion of adrenal glucocorticoids further suggests that the central glucocorticoid receptors are subsensitive. Hypersecretion of cortisol is a characteristic feature of many patients with major depression (Dinan, 1994). Central neurotransmission occurring in depression may, in part, result from the effects of chronic glucocorticoids.

Glucocorticoid synthesis inhibitors (e.g. metyrapone) may be able to reduce the abnormality in neurotransmitter function by decreasing the cortisol concentration.

Typical antidepressants increase the density of glucocorticoid receptors in rats. Such an effect could increase the negative feedback mechanism and thereby reduce the release of cortisol. In support of this hypothesis, there is preliminary clinical evidence that metyrapone (and the steroid synthesis inhibitor ketoconazole) may have antidepressant effects. Recently, several lipophilic antagonists of corticophin-releasing factor (CRF) type 1 receptor, which appears to be hyperactive in the brain of patients with depression, have been shown to be active in animal models of depression. Clearly, this is a potentially important area for antidepressant development (Mansbach <i>et al</i>, 1997).

Glucocorticoid receptors are present in high density in the amygdala, and neuroimaging studies have shown that the amygdala is the only structure in which the regional blood flow and glucose metabolism consistently correlate positively with the severity of depression (Lesser <i>et al</i>, 1994). This hypermetabolism appears to reflect an underlying pathological process as it also occurs in asymptomatic patients and in the close relatives of patients.

**Effects of antidepressants on endocrine–immune functions**

Stress is frequently a trigger factor for depression in vulnerable patients. Corticophin-releasing factor is elevated in the CSF of untreated patients with...
depression, which presumably leads to the hypercortisolaemia that usually accompanies the condition. One of the consequences of elevated plasma glucocorticoids is a suppression of some aspects of cellular immunity. Many aspects of both cellular (for example, natural killer cell activity, T-cell replication) and non-cellular (for example, raised acute phase proteins) immunity are abnormal in untreated patients with depression (see Song & Leonard, 1995). This may contribute to the susceptibility of patients with depression to physical ill health.

A link between CRF, the cytokines that orchestrate many aspects of cellular immunity and the prostaglandins of the E series has been the subject of considerable research in recent years. Prostaglandin E2 (PGE2) concentrations are raised in the plasma of untreated patients with depression and are normalised following effective treatment with TCAs. Raised PGE2 concentrations reflect increased pro-inflammatory cytokines (particularly interleukins 1 and 6 and tumour necrosis factor, ), which occur as a consequence of increased macrophage activity in the blood and brain. In the brain, the microglia function as macrophages and produce such cytokines locally. Thus, the increased synthesis of PGE2 may contribute to the reduction in amine release in the brain that appears to underly the pathology of depression. It has recently been postulated that antidepressants normalise central neurotransmission by reducing brain concentrations of both the cytokines and PGE2 by inhibiting central and peripheral macrophage activity together with cyclooxygenase type 2 activity in the brain. Cyclooxygenase is the key enzyme in the synthesis of the prostaglandins. The usefulness of TCAs in severe rheumatoid arthritis may reflect the inhibitory action of such drugs on cyclooxygenase activity. These effects of TCAs, together with those in glucocorticoid receptor function, may thus normalise defective central neurotransmission.

Another possible mechanism whereby antidepressants may change the physical relationship between neurons in the the brain is by inhibiting neurite outgrowth from nerve cells. Amitriptyline inhibits neurite outgrowth from chick embryonic cerebral explants in vivo (Wong et al., 1991). A common mode of action of all antidepressants could be to modify the actual structure of nerve cells and possibly eliminate inappropriate synaptic contacts that are responsible for behavioural and psychological changes associated with depression.

It is also possible that chronic antidepressant treatment may affect pathways that involve receptor interactions with protein tyrosine kinases, by increasing specific growth factor synthesis or by regulating the activity of proinflammatory cytokines (Duman et al., 1997). These pathways control many aspects of neuronal function that ultimately underlie the ability of the brain to adapt and respond to environmental stimuli. The infusion of one of these transcription factors (brain-derived neutrophic factor) into the mid-brain of rats results in antidepressant-like activity (Siuciak et al., 1996), an action associated with an increase in the synthesis of tryptophan hydroxylase, the rate limiting enzyme in the synthesis of serotonin.

Circumstantial evidence from the body fluids of patients, and from the brains of suicide victims who suffered from depression, indicate an abnormality

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**Box 8. Role of glucocorticoids in modulating brain amines in depression**

Glucocorticoid receptors occur on catecholamine- and 5-HT-containing cell bodies in the brain. There is evidence that the glucocorticoid receptors are hyposensitive in patients with depression. Chronic antidepressant treatment sensitises these receptors, thereby normalising the noradrenergic and serotonergic function that is reduced by hypercortisolaemia, which occurs in major depression.

**Box 9. The possible role of prostaglandins and cytokines in depression**

There is evidence that both cellular and non-cellular immunity are abnormal in patients with depression. The proinflammatory cytokines (interleukins 1 and 6 and tumour necrosis factor alpha) from macrophages are raised in depression. This leads to increased prostaglandin E2 synthesis and release, which may lead to a reduction in central monoamine release. Chronic antidepressant treatments reduce both the proinflammatory cytokines and prostaglandin E2.

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**Conclusions**

Circumstantial evidence from the body fluids of patients, and from the brains of suicide victims who suffered from depression, indicate an abnormality
in serotonergic and noradrenergic function (together with changes in other transmitters such as acetylcholine and GABA). Such changes in amine neurotransmitter function generally normalise following effective antidepressant treatment. It is postulated that all types of antidepressants and ECT, irrespective of their presumed specificity for acting on a particular neurotransmitter system, ultimately correct the multitude of neurotransmitter abnormalities that cause depression.

My personal view is that these changes in neurotransmitters, which may be causally connected to the symptoms of depression, are secondary to those involving hyposensitive central glucocorticoid receptors, proinflammatory cytokines and an increase in prostaglandin E2 (both centrally and peripherally), together with changes in the structure of neurons. Thus, factors such as the duration of antidepressant treatment and delay in onset of the clinical response may reflect the subcellular adaptive changes that underlie the mechanism of action of these drugs.

References


— (1994a) Biological strategies for the development of antidepressants. CNS Drugs, 1, 285–304.


Multiple choice questions

1. Compared with controls, the following have been suggested as biological markers of depression:
   a. reduced plasma cortisol after 1 mg dexamethasone treatment
   b. reduced growth hormone secretion after clonidine treatment
   c. reduced prolactin secretion after fenfluramine treatment
   d. decreased 5-HIAA concentration in the CSF.

2. The following changes have been reported to occur on platelet membranes of patients with depression:
   a. increased $^3$H-paroxetine binding to the 5-HT transporter
   b. decreased $^3$H-imipramine binding to the 5-HT transporter
   c. increased density of $\beta$-adrenoceptors
   d. decreased density of 5-HT$_{2a}$ receptors.

3. The following mechanisms have been postulated to account for the therapeutic action of antidepressants following their chronic administration:
   a. decreased density (down-regulation) of cortical $\beta$-adrenoceptors
   b. increased density (up-regulation) of cortical $\beta$-adrenoceptors
   c. decreased density of $\alpha_2$ (inhibitory) adrenoceptors in limbic regions of the brain
   d. desensitisation of inhibitory 5-HT$_{1b}$ receptors in limbic regions of the brain.

MCQ answers

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